

## **PRIVACY POLICY**

Aegis Financial LLC provides its services to you in accordance with the following policies related to your privacy. Please read them carefully.

### **1. Information Collected**

We collect information from you when you register on our site, invest with us, subscribe to our newsletters or other information, opt-in to our electronic communications policy, or use other services provided by the Company through its web site and online account access tools.

When accessing our site, as appropriate, you may be asked to enter your name, e-mail address, mailing address, phone number, bank account (if you authorize ACH transactions in connection with your account management), or other personal information. You may, however, also visit our site anonymously.

### **2. Use of Your Information**

Any of the information we collect from you may be used in the following ways:

- To personalize your experience
- To improve our website
- To improve customer service
- To process transactions
- To send electronic communications from the Company

Your information, whether public or private, will not be sold, exchanged, transferred, or given to any other company for any reason whatsoever, without your consent, other than to our third party service providers that have a need to know such information in order to assist us in fulfilling our commitments to you.

The email address you provide may be used to send you information, respond to inquiries, and/or other requests or questions in accordance with our Electronic Communications policy.

### **3. Protection of Your Information**

We implement a variety of security measures to maintain the safety of your personal information when you place an order.

We offer the use of a secure server. All supplied sensitive/financial information is transmitted via Secure Socket Layer (SSL) technology and then encrypted only to be accessible by those authorized with special access rights to such systems, all of whom are required to keep the information confidential.

### **4. Cookies**

Cookies are small files that a site or its service provider transfers to your computer hard drive through your Web browser (if you allow) that enables the sites or service providers systems to recognize your browser and capture and remember certain information. We use cookies to help us remember and process features of your online account management, understand and save your preferences for future visits and compile aggregate data about site traffic and site interaction so that we can offer better site experiences and tools in the future.

## **5. Disclosure of Information to Third Parties**

We do not sell, trade, or otherwise transfer to outside parties your personally identifiable information. This does not include trusted third parties who assist us in operating our website, conducting our business, or servicing you, so long as those parties agree to keep this information confidential. We may also release your information when we believe release is appropriate to comply with the law, enforce our site policies, or protect our or others' rights, property, or safety. However, non-personally identifiable visitor information may be provided to other parties for marketing, advertising, or other uses.

## **6. Third Party Links**

Occasionally, at our discretion, we may include or offer third party products or services on our website. These third party sites have separate and independent privacy policies. We therefore have no responsibility or liability for the content and activities of these linked sites. Nonetheless, we seek to protect the integrity of our site and welcome any feedback about these sites.

## **7. California Online Privacy Protection Act Compliance**

Because we value your privacy, we have taken the necessary precautions to be in compliance with the California Online Privacy Protection Act. We therefore will not distribute your personal information to outside parties without your consent. As part of the California Online Privacy Protection Act, all users of our site may make any changes to their information at any time by logging into their account and going to the 'Account Info' page.

## **8. Children's Online Privacy Protection Act Compliance**

As required by COPPA (Children's Online Privacy Protection Act), we do not collect any information from anyone under 13 years of age. Our website, products and services are all directed to people who are at least 13 years old or older.

## **9. Terms and Conditions**

Please also visit our Terms and Conditions section establishing the use, disclaimers, and limitations of liability governing the use of our website at [www.aegis.financial](http://www.aegis.financial).

## **10. Your Consent**

By using our site, you consent to our web site privacy policy.

## **11. Changes to our Privacy Policy**

Although we may attempt to notify you when major changes are made to our privacy policy, you should periodically review the most up-to-date version, which may be found at <http://www.aegis.financial>. The Company may, in its sole discretion, modify or revise this privacy policy at any time, and you agree to be bound by such modifications or revisions. Nothing in this privacy policy shall be deemed to confer any third-party rights or benefits.

This policy was last modified on 03/03/2015.

## **12. Questions**

Questions regarding this or any other Aegis Financial policy related material can be directed to our support staff by clicking on the “Contact Us” link in the side menu or by emailing us.